

Date: July 23, 2021

To: City of Emeryville

From: Faith Nebergall, General manager, Trader Vic's

Subject: Annual Cabaret Permit Application

Trader Vic's Emeryville is submitting an application for an annual Cabaret Permit. We intend to utilize our parking lot for our annual 'Mai Tai Day Celebration' on Sunday August 29th, and host live music that day between 1p & 7p. While this is the only future day/event that we have planned to include live music, we would like to apply for the annual permit because we may want to host another performance before the end of the year.

Trader Vic's has previously and routinely held a valid cabaret permit. We chose not to renew at the top of the year due to the COVID-19 pandemic.

The location of the live entertainment will be in our parking lot- which we have a Temporary Use Permit that approves operation in that area. Floor plan attached.

The following activities will be conducted at the event that day;

- Live musical performance by 'Tikiyaki 5.0', a quartet playing 'exotic surf' style music.
- Live performance of classic Hawaiian hula dancing by the Polynesian Dance Troupe, including 8 dancers and using music that has previously been recorded.
- We will be selling tickets to the event ahead of time for \$30.

We will be serving alcohol at this event. We currently hold a valid ABC license for onsite consumption and a valid ABC caterer's license for offsite consumption.- attached along with business license.

Our security measures include 2 trained staff members walking the perimeter of the parking lot, and 4 trained staff members stationed at the entrance(2) and exit(2) of the event.

Onsite Managers for the event;

Faith Nebergall, General Manager

Carlos Valdez, Asst. General Manager

Mishan Stinson, Catering Manager

Jose Ponce, Executive Chef & Kitchen Manager

Rest

Faith Nebergall
630.280.9239



City of Emeryville

Cabaret Application

(TF-56, Rev.7/14)

For City Use Only: <input type="checkbox"/> Fee Collected	Annual Cabaret Permit <input checked="" type="checkbox"/> One Day Cabaret Permit <input type="checkbox"/>
By: _____	Date of Application: <u>JULY 23rd 2021</u>

APPLICANTS NAME

First:	Middle:	Last:		
Home Address (No P.O. Boxes) Street:				
City:	STATE:	ZIP CODE:		
Date of Birth:	Height:	Weight:	Hair Color:	Eye Color:
Telephone Home:		Mobile:		
Name of Business: <u>Trader Vics</u>				
Address of Business: <u>9 Anchor Dr. Emeryville, CA 94608</u>				
Business Phone: <u>510-683-3400</u>		FAX#:		
Business Owned by: <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> LLC				

I solemnly swear, under the penalty of perjury, that the answers I have made to each of the questions contained in this application are full and true to the best of my knowledge.

I understand that any false statements I knowingly make will disqualify my application to operate a Cabaret.

I understand that the Chief of Police, or his designated employee, will investigate all information supplied by me on this application and any attached pages. The Chief of Police may report to the City Manager and the City Council any offense(s) for which I have been convicted. I hereby give him permission.

I understand that this Cabaret Permit is subject to withdrawal, suspension, or revocation if I, or any of my employees, violate any provision(s) of local, State or Federal law applicable to such business.

I understand that at all times while engaged in such business, the Chief of Police, or his representative, shall have access to the proposed site, and to the business records of this applicant for the purpose of investigating compliance with the applicable provisions of the Emeryville Municipal Code, and all other State and Federal Law. I hereby consent to any such search and consequent seizure.

I have received and read a copy of the Emeryville Municipal Code Sections 5-4.01 through 5-4.12 as amended up to the date of this application.

Gm 7/23/2021

Title	Date	Witness:	Date:
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City of Emeryville

Cabaret Application

Name of Cabaret:

(TF-57, REV 3/16)

FINANCIAL HISTORY STATEMENT

☐ Individual

☐ Other (Please list below)

☐ Partnership

☒ Corporation

(Please only check one)

Will you (Applicant) be an active participant in the management and operations of the proposed business?

☒ YES ☐ NO

INDIVIDUAL OWNERSHIP (Use this page for each individual in a partnership)

Amount invested in this Business.

Percent of Ownership this represents.

Investment is financed in the following manner:

Identify all sources of funds used for your investment in the business:

Do you control, manage, or hold in trust any assets or liabilities for other persons or entity? ☐ YES ☐ NO

(If Yes, give Description of Assets/Liabilities held:

Has your interest in this business establishment been assigned, or pledged to any person, firm, or corporation?

☐ YES ☐ NO

Has any agreement been entered into whereby your interest is to be assigned, pledged, or sold either in part or in whole? ☐ YES ☐ NO

(If YES Explain in Detail):

Have you ever filed for Bankruptcy? ☐ YES ☐ NO

If

YES, briefly describe circumstances and Name of Court where it was Filed.

Have you been associated as an officer, director, stockholder, partner or sole proprietor with any business entity that has filed for protection under the Federal Bankruptcy Law? ☐ YES ☐ NO.

If YES, Furnish the Facts on a separate page and list the Federal District Court where it was filed.



City of Emeryville

Cabaret Application

Name of Cabaret: *Trader Vic's*

(TF-58, REV 3/16)

Have you attached the following documents?

Last Federal Income Tax Return
(Individual and Business)

☐ YES ☒ NO

List of Creditors

(Include amount of Liability)

☒ YES ☐ NO

Balance Sheet

☐ YES ☒ NO

STATEMENT OF ASSETS & DEBTS

Total Cash on Hand: \$

Bank Information:

☐ Checking ☐ Savings ☒ Business ☐ Personal ☐ Notes Receivable

Bank Name: *First Republic Bank*

Address: *101 pine st. San Francisco, CA 94111*

CRIMINAL HISTORY

Have you ever been arrested or convicted of a crime? ☐ YES ☒ NO (If "YES" please explain below)



City of Emeryville

Cabaret Application

Emergency Contacts information

Name	Job Title	Best Phone# to Contact
Faith Nebergall	General Manager	
Rhett Rosen	CEO	
Michael Broderic	Corporate executive Chef	

Parties named in the application who have been arrested for any crimes:

Name	Crime/Offense & Date	Court Jurisdiction

Please use the area below to explain any criminal history not listed above:



City of Emeryville

Cabaret Application

Name of Cabaret:

(TF-60, REV 3/16)

COMPLETE THIS PORTION IF PROPOSED LICENSEE IS A CORPORATION:

Complete Title: Trader Vic's, INC.

State in which incorporated: California

NAME, HOME ADDRESS, BUSINESS, HOME & CELLULAR TELEPHONE NUMBERS FOR THE BOARD OF DIRECTORS OF THE CORPORATION, INDICATE TITLE OF CORPORATION OFFICERS.

PRESIDENT/CEO: Brett Rosen

VICE PRESIDENT: Raquel Rodriguez

SECRETARY: Sophie Akiwemi

TREASURER/CFO: Kyle M Chapin

MEMBER:

MEMBER:

MEMBER:

SHARE HOLDERS: PLEASE PROVIDE NAMES, ADDRESSES AND PHONE NUMBERS OF ALL SHARE HOLDERS:



City of Emeryville

Cabaret Application

Name of Cabaret:

(TF-61, REV 3/16)

COMPLETE THIS PORTION IF PROPOSED LICENSEE IS A PARTNERSHIP

Complete Title:

State in which Partnership formed:

NAME, HOME ADDRESS, BUSINESS, HOME & CELLULAR TELEPHONE NUMBERS FOR ALL PARTNERS;

PARTNER:

PARTNER:

PARTNER:

PARTNER:

PARTNER:

PARTNER:

PARTNER:

DESCRIBE BELOW THE PERCENTAGE OF OWNERSHIP FOR EACH PARTNER:



City of Emeryville

Cabaret Application

Name of Cabaret:

(TF-62, REV 3/16)

ROSTER OF EMPLOYEES WHO WILL BE PRESENT DAY OF EVENT

NAME	JOB TITLE	HOME ADDRESS	PHONE NUMBER
Attachee			
USE ADDITIONAL PAGES OF THIS FORM, AS NECESSARY			



City of Emeryville

Cabaret Application

Name of Cabaret:

(TF-63, REV 3/16)

SECURITY: The following is descriptions of the measures I have/will take to enhance the safety and wellbeing of the persons visiting/patronizing the premises.

Security Company Name:	Number of Security Guard on-duty:	Armed: <input type="checkbox"/>
Address:		Unarmed: <input type="checkbox"/>
Phone Number:		

FACILITIES: Insurance Company Name and Policy Number

Name: American Insurance CO	Policy Number: [REDACTED]
Address: 225 W Washington St. 1800 Chicago, IL 60606	Liability Amount: [REDACTED]
Phone Number:	Agent or Contact: Marsh McLennan Agency LLC

SERVICES: Will alcoholic beverages be served for the public to purchase? (If "YES" Please Provide the ABC # below.) YES

[REDACTED]

HOURS OF OPERATION: (May not be open before 10:00AM or after 2:00AM)

HOURS of OPERATION: Wednesday - Sunday 12p - 9p
DAYS CLOSED: Mon-Tues

AFFIRMATION: State of CALIFORNIA, in the County of Alameda

I, Faith Webergan being duly sworn, depose and say that I have read the foregoing application, all relevant pages and attachments thereto and know the contents thereof. The statements contained therein are true and correct and contain a full true account of the information requested. This statement is executed with the knowledge that omissions or misrepresentations may be deemed sufficient cause for refusal to issue a license by the City of Emeryville. Further, I am aware that [REDACTED] is grounds for the revocation of the Cabaret Permit.

7/23/2021

Subscribed and sworn to before me this [REDACTED] day of [REDACTED], 20

NOTARY PUBLIC SEAL:

Employee roster

┐	MEARSE, SHAQUILLE (1009)	A	Active Employees English	2020- 10-22
┐	BENDEL, MITCHELL (1010)	A	Active Employees English	2021- 05-14
┐	HARADA, RYOICHI (1014)	A	Active Employees English	2021- 04-16
┐	WASHINGTON, ALEXIS (1021)	A	Active Employees English	2021- 03-17
┐	ADEYEMI, ADEIDRA (1023)	A	Active Employees English	2020- 07-07
┐	CHAPARRO- GONZALEZ, JOSE LUIS (1027)	A	Active Employees Spanish	2021- 03-06
┐	ROMERO, JAINOR (1033)	A	Active Employees Spanish	2015- 05-01
┐	GOMEZ, SAUL (1035)	A	Active Employees Spanish	2020- 06-25
┐	ORTIZ, EDWIN (1036)	A	Active Employees English	2021- 04-02
┐	MARES, RAFAEL (1037)	A	Active Employees Spanish	2020- 06-18
┐	RAMOS SEGURA, MATIAS (1039)	A	Active Employees English	2020- 10-27
┐	VASQUEZ, CLAUDIA (1042)	A	Active Employees English	2020- 04-30
┐	QUINTANA HERRA, ROBERTO (1043)	A	Active Employees Spanish	2021- 07-09
┐	BARRAZA URREA, LAMBERTO (1047)	A	Active Employees English	2021- 06-02
┐	GONZALEZ, NOE (1048)	A	Active Employees Spanish	2020- 10-11

┐	CALDERON, EDWING (1051)	A	Active Employees Spanish	2021- 03-17
┐	MUNGUIA, MARTIN (1059)	A	Active Employees English	2018- 01-01
┐	CORNEJO, JOSE (1065)	A	Active Employees Spanish	2021- 05-06
┐	VALDEZ, CARLOS (1067)	A	Active Employees English	2021- 04-23
┐	PONCE, JOSE (1069)	A	Active Employees English	2014- 07-08
┐	STINSON, MISHAN (1070)	A	Active Employees English	2013- 07-27
┐	ABREGO MENDOZA, ANIBAL (1125)	A	Active Employees English	2021- 03-17
┐	JUAREZ, TELMA (1266)	A	Active Employees English	2021- 03-17
┐	DOCTO, VINCE ALBERT (1271)	A	Active Employees English	2020- 10-28
┐	PADILLA, RAMON ULLOA (1284)	A	Active Employees Spanish	2021- 03-06

Current Vendors

ACC PRINTERS

V-00523

ACME PACIFIC REPAIRS INC

V-00467

ALAMEDA COUNTRY

V-00130

ALAMEDA COUNTY

V-00130

ALAMEDA COUNTY ENVIRONMENTAL HEALTH

V-00131

ALAMEDA ISLAND BREWING COMPANY

V-00495

ALHAMBRA

V-00427

ALICIA'S FLOWER SHOP

V-00535

ALSCO

V-00136

AMERICAN PAPER AND PROVISIONS

V-00500

ARROW GLASS AND MIRROR

V-00141

ARROW GLASS COMPANY A CALIF.CORP.

V-00141

A & R SEAFOOD

V-00126

ASCAP

V-00142

ASCENTUM CAPITAL LLC

V-00143

ASHBY LUMBER

V-00081

ATS COMMUNICATIONS

V-00451

AT&T

V-00145

AT&T

V-00145

AUTO-CHLOR SYSTEM

V-00147

BAR PRODUCTS.COM

V-00527

HONIG VINEYARD AND WINERY

V-00532

HOPSCOTCH PRESS, INC

V-00509

INTERNATIONAL FIRE EQUIPMENT

V-00243

J. MILLER FLOWERS AND GIFTS

V-00465

PG&E

V-00299

PLANET WINE

V-00596

PORTIA'S WING LEE LAUNDRY

V-00314

PRIDE MOUNTAIN VINEYARDS, LLC

V-00318

PROSPECT 772 WINE COMPANY INC

V-00595

RAK PORCELAIN

V-00110

REED BROTHERS SECURITY

V-00325

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V-00325

REPUBLIC NATIONAL DISTRIBUTING COMPANY

C-00083

REYES COCA-COLA BOTTLING, LLC

V-00359

RNDC

V-00382

ROCK WALL WINE COMPANY

V-00538

ROMBAUER VINEYARDS

V-00332

ROMINA FOODS, INC.

V-00581

R.T CLEANING MAINTENANCE

V-00541

SAN FRANCISCO TRAVEL ASSOCIATION

V-00384

SAN ISIDRO PRODUCE INC

V-00638

S&B INVESTMENTS

J.M. ROSEN'S CHEESECAKE

V-00583

JORDAN WILSON

V-00594

JUDD'S HILL

V-00255

JULIO BRAVO

V-00423

JVS WINES IMPORT, INC.

V-00435

LUIS A. RIVAS

V-00270

MARIO SALOMAN

V-00514

MARIO Y SU TIMBEKO

V-00514

MAXIMILIANO CORTES

V-00578

MCLAUGHLIN COFFEE COMPANY

V-00279

MEE MEE BAKERY

V-00280

METROPOLIS BAKING

V-00282

MIKIYA MATSUDA

V-00383

MODESTO FOOD DISTRIBUTORS, INC.

V-00285

SRC PUMPING COMPANY

V-00349

STEELITE INTERNATIONAL AMERICA

V-00117

THE CHEF'S WAREHOUSE WEST COAST

V-00358

THE HENRY WINE GROUP

V-00361

THE HOME DEPOT

V-00237

THE LATEST SCOOP

V-00362

TRIMARK ECONOMY RESTAURANT FIXTURES

V-00009

TRIMARK R.W. SMITH

V-00009

ULINE

V-00097

UNITED MECHANICAL

V-00367

VALLEY LAHVOSH BAKING CO

VALLEY LAHVOSH

VEGIWORKS, INC.

V-00373

VERO WATER INC

V-00374

VERO WATER INC

V-00374

WASTE MANAGEMENT OF ALAMEDA COUNTY

V-00376

WINEBOW

V-00590

WINE WAREHOUSE

V-00378

WM CORPORATE SERVICES, INC

V-00376

YAU KUNG MON, INC

V-00171

YOUNG'S MARKET COMPANY

V-00382

YOUNGS MARKET COMPANY

V-00382

ZD WINES LLC

V-00597



CITY OF EMERYVILLE

1333 Park Avenue

Emeryville, CA 94608

(510) 596-4325

Business License Certificate

Expires: 12/31/2021

The issuing of a Business Tax Certificate is for revenue purposes only. It does not relieve the taxpayer from the responsibility of complying with the requirements of any other department of the City of Emeryville or any other ordinance, law, or regulation of the City of Emeryville, State of California or any other governmental agency.

Business License Number: 0000004545

Post in a
Conspicuous
Place
Non-
Transferable

Business Name: TRADER VIC'S
9 ANCHOR DRIVE
EMERYVILLE, CA 94608-1510

Type of Business: GROSSRECPT

Chief Financial Officer/Treasurer

Important Taxpayer Information

- ◆ Please see below for the **Closure of Business Form**. This form must be filled out by all businesses leaving, selling, or closing their operations in Emeryville.
- ◆ All businesses are responsible for renewing or closing their business license account with the City. The City will mail out courtesy renewal notices every year/quarter but penalties and interest will begin to accrue after the due date regardless of whether or not the taxpayer receives/responds to future courtesy notices.

How your Business can help Emeryville

- Notify the Finance Department of any possible unlicensed businesses operating in the City.

Closure of Business Form			
I, _____, hereby claim, under the penalty of perjury as enforced under the California			
Penal code, that as of ____ / ____ / ____ my business is no longer conducting any business activity in Emeryville.			
Reason for Closing: _____			
Signature	Name	Title	Date
Please Fax to (510) 658-8095 or send to address above attention: Business Licenses			
Date Processed:		For Official Use Only	
		Processor: _____	
Amount Due:		Tax Year(s) Delinquent	

STATE OF CALIFORNIA
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
ALCOHOLIC BEVERAGE LICENSE

ON-SALE GENERAL EATING PLACE

VALID FROM

May 01, 2021

TRADER VICS



EXPIRES

Apr 30, 2022

TYPE NUMBER DUP

47 41474

AREA CODE

0104 22

RENEWAL

BUSINESS ADDRESS (IF DIFFERENT) DBA: TRADER VICS
9 ANCHOR DR
EMERYVILLE, CA 94608-1510

CONDITIONS

OWNERS: TRADER VICS



IMPORTANT INFORMATION

EFFECTIVE PERIOD: This license is effective only for the operating period shown above. A new license will be sent 4 to 6 weeks after the expiration date on your license if payment is timely. Your license status will remain in good standing for 60 days after the expiration date if the renewal payment was received timely. To check the status of your license, visit <http://www.abc.ca.gov/datport/LQSMenu.html>.

RENEWAL NOTICES: Renewal notices are sent to premises address unless a specific mailing address is requested. If a notice is not received 30 days before expiration date shown above, contact the nearest ABC office. To assure receipt of notices, advise your local ABC office of any change in address.

RENEWAL DATES: It is the licensee's responsibility to pay the required renewal fee by the expiration date shown above.

A Penalty is charged for late renewal and the license can be automatically revoked for failure to pay.

RENEWAL PAYMENTS: Renewal payments can be made in person by visiting your local office or sent by mail to ABC Headquarters, 3927 Lennane Drive, Suite 100, Sacramento, CA 95834. If you do not have your renewal notice, your license number and the reason for payment (ex. "renewal") must be clearly indicated on the check. You can contact your local ABC office for your renewal fee amount.

SEASONAL LICENSES: It is the licensee's responsibility to pay the required renewal fee prior to the next operating period.

POSTING: Cover this license with glass or other transparent material and post it on premises in a conspicuous place.

CONDITIONS: A copy of all applicable conditions must be kept on premises.

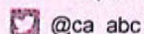
LICENSEE NAME: Only 10 names will be printed on each license. If there are more names associated with the license, they will be indicated by "AND XX OTHERS". All names are on file and available upon request from your local ABC office.

DBA: If you change your business name please notify your local ABC office.

If you have any questions regarding this license, contact your local ABC office. You can find the contact information for each district office at <http://www.abc.ca.gov/distmap.html>.

NOTE: CONTACT YOUR LOCAL ABC OFFICE IF YOUR LICENSED PREMISES WILL BE TEMPORARILY CLOSED FOR MORE THAN 15 DAYS OR WILL BE PERMANENTLY CLOSED.

<http://www.abc.ca.gov>



@ca_abc



CaliforniaABC

STATE OF CALIFORNIA
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
ALCOHOLIC BEVERAGE LICENSE

ON-SALE GENERAL EATING PLACE

VALID FROM

May 05, 2021

EXPIRES

Apr 30, 2022

TRADER VICS



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AREA CODE

0104 22

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@ca_abc



CaliforniaABC

STATE OF CALIFORNIA
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<http://www.abc.ca.gov>



@ca_abc



CaliforniaABC

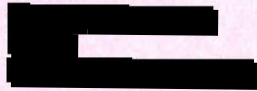
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NOTE: CONTACT YOUR LOCAL ABC OFFICE IF YOUR LICENSED PREMISES WILL BE TEMPORARILY CLOSED FOR MORE THAN 15 DAYS OR WILL BE PERMANENTLY CLOSED.

<http://www.abc.ca.gov>



@ca_abc



CaliforniaABC

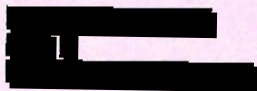
STATE OF CALIFORNIA
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
ALCOHOLIC BEVERAGE LICENSE

CATERER PERMIT

VALID FROM

May 05, 2021

TRADER VICS



EXPIRES

Apr 30, 2022

TYPE NUMBER DUP

58 41474 1

AREA CODE

0104 22

RENEWAL

BUSINESS ADDRESS DBA: TRADER VICS
(IF DIFFERENT) 9 ANCHOR DR
EMERYVILLE, CA 94608-1510

CONDITIONS

OWNERS: TRADER VICS



IMPORTANT INFORMATION

EFFECTIVE PERIOD: This license is effective only for the operating period shown above. A new license will be sent 4 to 6 weeks after the expiration date on your license if payment is timely. Your license status will remain in good standing for 60 days after the expiration date if the renewal payment was received timely. To check the status of your license, visit <http://www.abc.ca.gov/datport/LQSMenu.html>.

RENEWAL NOTICES: Renewal notices are sent to premises address unless a specific mailing address is requested. If a notice is not received 30 days before expiration date shown above, contact the nearest ABC office. To assure receipt of notices, advise your local ABC office of any change in address.

RENEWAL DATES: It is the licensee's responsibility to pay the required renewal fee by the expiration date shown above.

A Penalty is charged for late renewal and the license can be automatically revoked for failure to pay.

RENEWAL PAYMENTS: Renewal payments can be made in person by visiting your local office or sent by mail to ABC Headquarters, 3927 Lennane Drive, Suite 100, Sacramento, CA 95834. If you do not have your renewal notice, your license number and the reason for payment (ex. "renewal") must be clearly indicated on the check. You can contact your local ABC office for your renewal fee amount.

SEASONAL LICENSES: It is the licensee's responsibility to pay the required renewal fee prior to the next operating period.

POSTING: Cover this license with glass or other transparent material and post it on premises in a conspicuous place.

CONDITIONS: A copy of all applicable conditions must be kept on premises.

LICENSEE NAME: Only 10 names will be printed on each license. If there are more names associated with the license, they will be indicated by "AND XX OTHERS". All names are on file and available upon request from your local ABC office.

DBA: If you change your business name please notify your local ABC office.

If you have any questions regarding this license, contact your local ABC office. You can find the contact information for each district office at <http://www.abc.ca.gov/distmap.html>.

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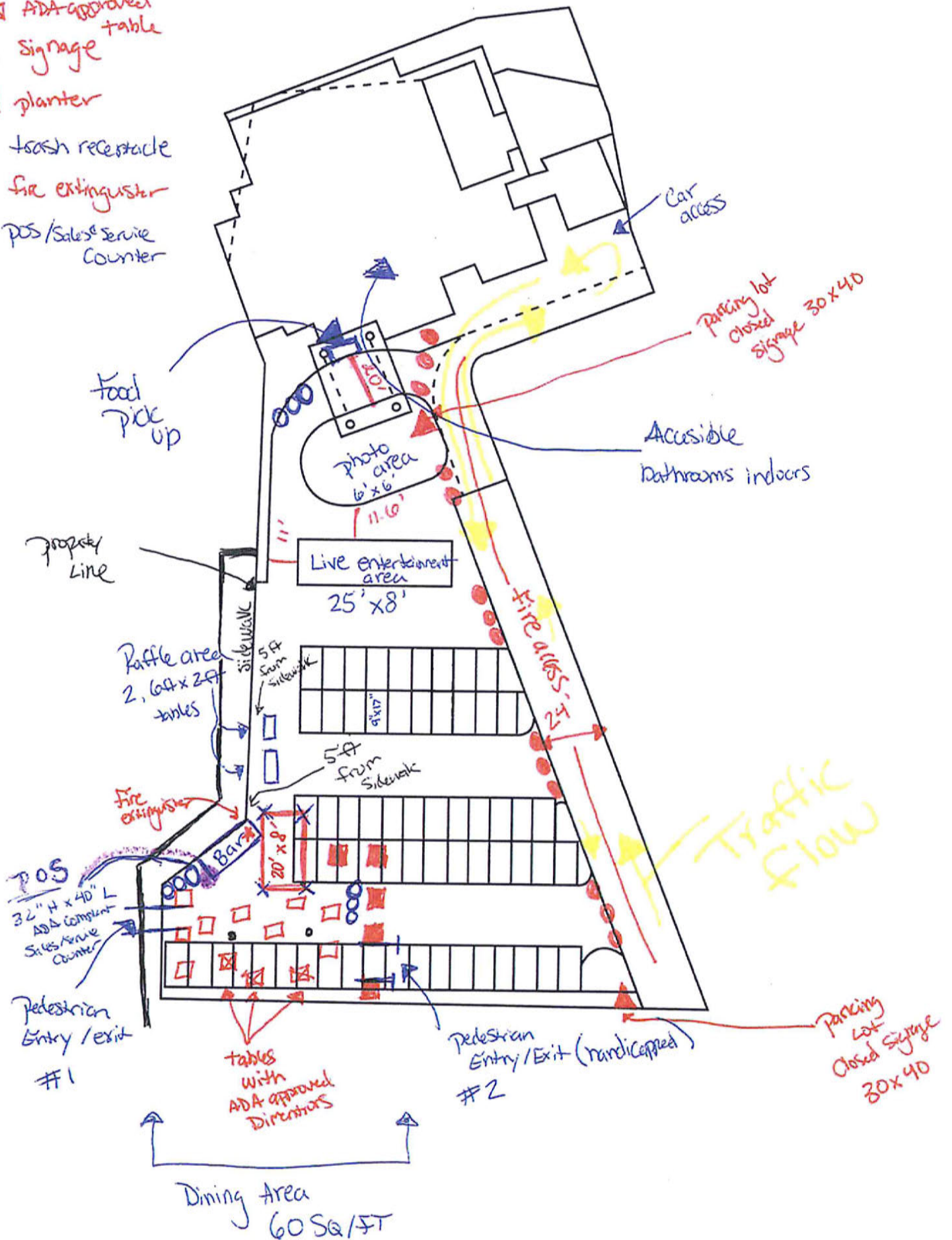


@ca_abc



CaliforniaABC

- Our orange marker will work
- Heater (propane stored and hooked in restaurant)
- table
- ▣ ADA approved table
- ▲ signage
- planter
- trash receptacle
- * fire extinguisher
- pos/sales/service counter





CITY OF EMERYVILLE

1333 Park Avenue Emeryville, CA 94608 (510) 596-4325 <http://www.ci.emeryville.ca.us/>

Finance Department Cash Receipt

DUPLICATE RECEIPT

Page 1

Receipt Number: [REDACTED]

Received By: MONICA

Payor: TRADER VIC'S INC.

Today's Date: 07/27/21

Register Date: 07/27/21 Time: 00:00

Item	Customer ID	Amount
MISCELLANEOUS RECEIPTS	CABARET PERMIT- TRADER VI	[REDACTED]
TOTAL DUE:		[REDACTED]

CHECK :
CHANGE :
CASH :

REF NUM: 61014
REF NUM:
REF NUM:

TENDERED [REDACTED]

Cashier Hours (Excluding Holidays): Monday - Friday 9am-5pm.

61014



TRADER VIC'S INC.
WATERGATE ACCOUNT



FIRST REPUBLIC BANK



11-8166/3210

DATE

AMOUNT

07/23/2021

PAY
TO THE
ORDER
OF

CITY OF EMERYVILLE

Finance Department

P.O. Box 674

Rodeo CA 94572

TTC A



Security features. Details on back.

