



# City of Emeryville

## Cabaret Application

(TF-56, Rev. 7/14)

For City Use Only: <input checked="" type="checkbox"/> Fee Collected	Annual Cabaret Permit <input checked="" type="checkbox"/> One Day Cabaret Permit <input type="checkbox"/>
By: <u>see attached Receipt.</u>	Date of Application: <u>August 14, 2018</u>

### APPLICANTS NAME

First:	Middle:	Last:		
Home Address (No P.O. Boxes) Street:				
City:	STATE:	ZIP CODE:		
Date of Birth:	Height:	Weight:	Hair Color:	Eye Color:
Telephone Home:		Mobile:		
Name of Business: <u>Trader Vic's</u>				
Address of Business: <u>9 Anchor Dr. Emeryville, CA 94608</u>				
Business Phone: <u>(510) 653-3400</u>		FAX#:		
Business Owned by: <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> LLC				

I solemnly swear, under the penalty of perjury, that the answers I have made to each of the questions contained in this application are full and true to the best of my knowledge.

I understand that any false statements I knowingly make will disqualify my application to operate a Cabaret.

I understand that the Chief of Police, or his designated employee, will investigate all information supplied by me on this application and any attached pages. The Chief of Police may report to the City Manager and the City Council any offense(s) for which I have been convicted. I hereby give him permission.

I understand that this Cabaret Permit is subject to withdrawal, suspension, or revocation if I, or any of my employees, violate any provision(s) of local, State or Federal law applicable to such business.

I understand that at all times while engaged in such business, the Chief of Police, or his representative, shall have access to the proposed site, and to the business records of this applicant for the purpose of investigating compliance with the applicable provisions of the Emeryville Municipal Code, and all other State and Federal Law. I hereby consent to any such search and consequent seizure.

I have received and read a copy of the Emeryville Municipal Code Sections 5-4.01 through 5-4.12 as amended up to the date of this application.

<u>[Signature]</u>	<u>COO</u>	<u>8/14/18</u>		
Signature of Applicant	Title	Date	Witness:	Date:



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Name of Cabaret: _____		(TF-57, REV 3/16)
<b>FINANCIAL HISTORY STATEMENT</b>		
<input type="checkbox"/> Individual <input type="checkbox"/> Other (Please list below) _____		
<input type="checkbox"/> Partnership _____		
<input checked="" type="checkbox"/> Corporation (Please only check one)		
Will you (Applicant) be an active participant in the management and operations of the proposed business?		
<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO		
<b>INDIVIDUAL OWNERSHIP</b> (Use this page for each individual in a partnership)		
Amount invested in this Business.	Percent of Ownership this represents.	
Investment is financed in the following manner:		
Identify all sources of funds used for your investment in the business:		
Do you control, manage, or hold in trust any assets or liabilities for other persons or entity? <input type="checkbox"/> YES <input type="checkbox"/> NO		
(If Yes, give Description of Assets/Liabilities held:		
Has your interest in this business establishment been assigned, or pledged to any person, firm, or corporation?		
<input type="checkbox"/> YES <input type="checkbox"/> NO		
Has any agreement been entered into whereby your interest is to be assigned, pledged, or sold either in part or in whole? <input type="checkbox"/> YES <input type="checkbox"/> NO		
(If YES Explain in Detail):		
Have you ever filed for Bankruptcy? <input type="checkbox"/> YES <input type="checkbox"/> NO		
If YES, briefly describe circumstances and Name of Court where it was Filed.		
Have you been associated as an officer, director, stockholder, partner or sole proprietor with any business entity that has filed for protection under the Federal Bankruptcy Law? <input type="checkbox"/> YES <input type="checkbox"/> NO.		
If YES, Furnish the Facts on a separate page and list the Federal District Court where it was filed.		



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Name of Cabaret:

(TF-58, REV 3/16)

Have you attached the following documents?

Last Federal Income Tax Return

☐

YES

☒

NO

(Individual and Business)

List of Creditors

☒

YES

☐

NO

(Include amount of Liability)

Balance Sheet

☐

YES

☒

NO

### STATEMENT OF ASSETS & DEBTS

Total Cash on Hand: \$ 1,000.00

Bank Information:

☐

Checking

☐

Savings

☒

Business

☐

Personal

☐

Notes Receivable

Bank Name:

Address:

### CRIMINAL HISTORY

Have you ever been arrested or convicted of a crime? ☐ YES ☒ NO (If "YES" please explain below)



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### Emergency Contacts information

Name	Job Title	Best Phone# to Contact
Jonathan Roman	General Manager	
Rhett Rosen	Chief Operating Officer	
Michael Broderick	Corporate Executive Chef	

### Parties named in the application who have been arrested for any crimes:

Name	Crime/Offense & Date	Court Jurisdiction

Please use the area below to explain any criminal history not listed above:



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Name of Cabaret:

(TF-60, REV 3/16)

**COMPLETE THIS PORTION IF PROPOSED LICENSEE IS A CORPORATION:**

Complete Title: **Trader Vic's**, INC.

State in which incorporated: **California**

NAME, HOME ADDRESS, BUSINESS, HOME & CELLULAR TELEPHONE NUMBERS FOR THE BOARD OF DIRECTORS OF THE CORPORATION, INDICATE TITLE OF CORPORATION OFFICERS.

**PRESIDENT/CEO:**

Peter Seely

(925) 675-6400 ext. 225

2278 Pike Ct. Ste. F, Concord CA 94520

**VICE PRESIDENT:**

Rhett Rosen

(925) 675-6400 ext. 235

2278 Pike Ct. Ste. F, Concord CA 94520

**SECRETARY:**

Kyle Chapin

(925) 675-6400 ext. 230

2278 Pike Ct. Ste. F, Concord CA 94520

**TREASURER/CFO:**

**MEMBER:**

**MEMBER:**

**MEMBER:**

**SHARE HOLDERS:** PLEASE PROVIDE NAMES, ADDRESSES AND PHONE NUMBERS OF ALL SHARE HOLDERS:



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Name of Cabaret:

(TF-61, REV 3/16)

### COMPLETE THIS PORTION IF PROPOSED LICENSEE IS A PARTNERSHIP

Complete Title:	
State in which Partnership formed:	
NAME, HOME ADDRESS, BUSINESS, HOME & CELLULAR TELEPHONE NUMBERS FOR ALL PARTNERS;	
PARTNER:	
PARTNER:	
PARTNER:	
PARTNER:	
PARTNER:	
PARTNER:	
PARTNER:	
DESCRIBE BELOW THE PERCENTAGE OF OWNERSHIP FOR EACH PARTNER:	



Name of Cabaret:

(TF-62, REV 3/16)

[illegible]

USE ADDITIONAL PAGES OF THIS FORM, AS NECESSARY



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Name of Cabaret:

(TF-63, REV 3/16)

SECURITY: The following is descriptions of the measures I have/will take to enhance the safety and wellbeing of the persons visiting/patronizing the premises.

Security Company Name:	Number of Security Guard on-duty:	Armed: <input type="checkbox"/>
Address:		Unarmed: <input type="checkbox"/>
Phone Number:		

FACILITIES: Insurance Company Name and Policy Number

Name: American Insurance Company	Policy Number: [REDACTED]
Address: 225 West Washington St. STE 1800, Chicago	Liability Amount: 2,000,000
Phone Number: (925) 482-9000	Agent or Contact: Marsh LLC

SERVICES: Will alcoholic beverages be served for the public to purchase? (If "YES" Please Provide the ABC # below.)

Yes, 47 41474

HOURS OF OPERATION: (May not be open before 10:00AM or after 2:00AM)

HOURS of OPERATION: Tues. - Fri. 11:30am - 2:30pm & 4pm - 10pm; Sat. & Sun: 4pm - 10pm. Closed Mondays.

DAYS CLOSED:

AFFIRMATION: State of CALIFORNIA, in the County of Alameda

I [REDACTED] being duly sworn, depose and say that I have read the foregoing application, all relevant pages and attachments thereto and know the contents thereof. The statements contained therein are true and correct and contain a full true account of the information requested. This statement is executed with the knowledge that omissions or misrepresentations may be deemed sufficient cause for refusal to issue a license by the City of Emeryville. Further, I am aware that later discovery of an omission or misrepresentation is grounds for the revocation of the Cabaret Permit.

Applicants Signature: [Signature]

Subscribed and sworn to before me this [REDACTED] day of [REDACTED], 20

NOTARY PUBLIC SEAL:

**Trader Vic's Emeryville - Current Vendors**

A & R SEAFOOD COMPANY  
ALAMEDA COUNTY  
ALSCO INC.  
ASCAP  
AUTOCHLOR  
BAY AREA BEVERAGE CO.  
BAY AREA DIST. CO., INC.  
BEAR BOTTOM FARMS INC.  
BI RITE FOOD SERVICE DIS  
CHEF WORKS, INC.  
DUCKHORN WINE COMPANY  
EAST BAY RESTAURANT SUPPLY, INC  
EL CERRITO FLORIST  
GOLDEN GATE MEAT COMPANY, INC  
GRGICH HILLS ESTATE  
HISTORIC PALM LLC  
JARED KAROL  
MCLAUGHLIN COFFEE COMPANY  
MEE MEE BAKERY  
MODESTO FOOD DISTRIBUTORS, INC  
PACIFIC COAST MEAT, INC.  
PEERLESS COFFEE COMPANY  
PROFESSIONAL CCS CLEANING SERVICE  
ROMBAUER VINEYARDS  
SOUTHERN WINE & SPIRITS  
SRC PUMPING COMPANY  
THE CHEFS WAREHOUSE  
THE HENRY WINE GROUP  
THE LATEST SCOOP  
UNITED MECHANICAL INC.  
VEGIWORKS, INC.  
MILES PARTNERSHIP  
ORQUESTA LA MODERN TRADICION

Employee Name	Position
Ever Diaz	Preperation
Leilani Windsor	Preperation
Jainor Romero	Dishwasher
Fernando Sotelo	Dishwasher
Rogelio Medina	Dishwasher
Luis Cubas	Dishwasher
Mitcheil Bendel	Server
Ola Dyer	Server
Claudia Vasquez	Server
Janice Williams	Server
Laurer Miller	Server
Yeizmy Montoya	Server
Shaquille Mearse	Server
Lamberto Barraza	Server
Sukum Saeng (Pow)	Server
Dena Nestor	Server
Stacey Shaw	Server
Nala Gardizi	Server
Adeidra Adeyemi	Server
Jessica Gerardi	Server
Diamond Dove	Server
Catharyn Dang	Server

## Trader Vic's - Emeryville

### 2018 Roster

Employee Name	Position
Jonathan Roman	General Manager
Esdras Gonzalez	Bar Manager
Mishar Stinson	Banquet Sales Manager
Faith Nebergall	Banquet Manager
Jose Ponce	Head Sous Chef
Callum Thompson	Sous Chef
Tarani Sempadian	Sous Chef
Denisse Cortez	Sous Chef
Edwing Hernandez	Line Cook
Martin Berumen	Line Cook
Ramil Castaneda	Line Cook
Carlos Espinosa	Line Cook
Delmir Lopez	Line Cook
Jessica Martinez	Line Cook
Devonna Gibbs	Line Cook
Joseph Gray	Line Cook
James Pagayon	Line Cook
Henry Martinez	Line Cook
Martin Munguia	Line Cook
Moncho Lopez	Preperation

Employee Name	Position
Veronica Toledo	Server
John Maire	Server
Saul Gomez	Server Assistant
Matias Ramos	Server Assistant
Roberto Quintana	Server Assistant
Rafael Mares	Server Assistant
Luis Samir Flores	Server Assistant
Sergio Fonseca	Server Assistant
Jaszlyn Thompson	Server Assistant
Noe Gonzalez	Bar Prep
Julio Castro	Food Runner
Edwin Ortiz	Food Runner
Federico De La Cruz	Food Runner
Angie Haller	Host
Sara Powis	Host
Alexis Washington	Host
Kayvan Cyrus	Bartender
Aaron Luna	Bartender
Luis Buenrostro	Bartender
Crashelle Jackson	Bartender
Eduardo Lemus	Bartender
Carlos Valdez	Bartender

Employee Name	Position
Felipe Celiz	Bartender
Matt Phillips	Valet
David Rap	Valet
Chris Batayola	Valet



## CITY OF EMERYVILLE

1333 Park Avenue Emeryville, CA 94608 (510) 596-4325 <http://www.ci.emeryville.ca.us/>

### Finance Department Cash Receipt

Page 1

Receipt Number: 03000037619

Received By: SOUDABEH

Payor: TRADER VIC'S

Today's Date: 08/16/18

Register Date: 08/16/18 Time: 00:00

Item	Customer ID	Amount
MISCELLANEOUS RECEIPTS	ANNUAL CABARET PERMIT	\$1,476.00
TOTAL DUE:		\$1,476.00

CHECK : \$1,476.00 REF NUM: 59906

TENDERED  
\$1,476.00

CHANGE  
\$.00

Cashier Hours (Excluding Holidays): Monday - Friday 9am-5pm.